

**Notice of Allowability**

Application No.

09/825,734

Examiner

James H. Zurita

Applicant(s)

LOPEZ, LEONARD H.

Art Unit

3625

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--**

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to amendment of 1 July 2005.
2. ☒ The allowed claim(s) is/are 16, 18, 19, 25.
3. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some\* c) ☐ None of the:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\* Certified copies not received: \_\_\_\_\_.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.

**THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.**

4. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
- (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
- 1) ☐ hereto or 2) ☐ to Paper No./Mail Date \_\_\_\_\_.
- (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date \_\_\_\_\_.
- Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

**Attachment(s)**

- |   |   |
|---|---|
| 1. <input type="checkbox"/> Notice of References Cited (PTO-892)  | 5. <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)                       |
| 2. <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                | 6. <input checked="" type="checkbox"/> Interview Summary (PTO-413),<br>Paper No./Mail Date _____. |
| 3. <input type="checkbox"/> Information Disclosure Statements (PTO-1449 or PTO/SB/08),<br>Paper No./Mail Date _____ | 7. <input checked="" type="checkbox"/> Examiner's Amendment/Comment                               |
| 4. <input type="checkbox"/> Examiner's Comment Regarding Requirement for Deposit<br>of Biological Material          | 8. <input checked="" type="checkbox"/> Examiner's Statement of Reasons for Allowance              |
|   | 9. <input type="checkbox"/> Other _____.  |

## **DETAILED ACTION**

### ***Response to Amendment***

On 13 July 2005, applicant amended claims 16, 18, 19 and added claims 25 and 26. Applicant cancelled claim 17.

Claims 16, 18, 19, 221, 5 and 26 were pending.

### **Examiner's Amendment**

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it **MUST** be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given by Charles Hanor in emails and telephone interviews from 25 November through 2 December 2005.

### **In the Claims**

Cancel claims 21 and 26.

Amend **claim 16** as follows:

Claim 16. A method for automated institutional print order processing that provides different groups of institutional agents with different levels of editorial control over the content of printed products, the method comprising:

defining format-specifying templates for a set of print products for an institution, said format-specifying templates defining the typography and placement of a plurality of graphical and textual elements adapted to be populated with individual-indicative content supplied by user

Art Unit: 3625

profiles and institution-indicative content supplied by institutional profiles associated with said user profiles;

providing a member of a first group of institutional agents with an Internet accessible requestor interface for selecting and ordering print products;

operating, the requestor interface to:

determine if this is the first time a user profile has previously been created for said member or has accessed the system

if a user profile has not been previously created it is the first time, creates a user profile for said member;

if a user profile has been previously created it is not the first time,

presents said member with selectable options including:

allows the member of the first group an option to modify the user profile

and an ;

allows the member of the first group option to place an order and an option to review an and order;

where said order is an order for a print product from said set of print products for which said format-specifying templates for said institution have been defined and for which the member is authorized;

determines if a user profile exists for the member of the first group

~~if a user profile does not exist for the member of the first group,  
allows the member of the first group to create a user profile by  
defining individual-indicative content;~~

~~If a user profile does exist for the member of the first group,~~

~~allows the member of the first group to modify the user  
profile;~~

~~allows the member of the first group to order a print product  
from said set of print products for which said format-  
specifying templates for said institution have been defined;  
and~~

generates a previewable representation of the ordered print product that populates  
the graphical and textual elements of the format-specifying template associated  
with the ordered print product with individual-indicative content extracted from the  
user profile of the member of the first group and institution-indicative content  
extracted from an associated institutional profile;

maintaining audit information related to said user profile, said audit information  
sufficient to identify the date the addition or modification was made, what was added or  
modified, and who made the addition or modification;

providing a second group of ~~institutional~~ institutional agents with an Internet accessible  
purchaser interface

operating the ,the purchaser interface by a member of said second group to

~~allows a member of the second group to define and modify institutional profiles~~  
to provide institution-indicative content for the format-specifying templates;  
requiring a member of the second group to view the previewable presentation of the print  
product order by the user prior to processing the print order request.

Amend **claim 25** as follows:

Claim 25. The method of claim ~~24~~18, wherein the Internet accessible purchaser interface further allows the member of the second group to view the audit record and allows the member of the second group to modify or delete both user profiles and print orders.

### ***Reasons for Allowance***

The following is an examiner's statement of reasons for allowance for independent claims 16, 18, 19 and 25. Applicant's remarks in his response of 13 July 2005 are commensurate with both the original disclosure and the claims as amended.

New searches were carried out based on amended claim language.

### **Claim 16**

**Regarding claim 1:** Additional searches show that the prior art of record neither anticipates nor fairly and reasonably teaches the combination of claim 16, including determining if a user profile has previously been created for a member of a first group of institutional agents, and permitting the agent to select from various options, including updating his profile, placing an order and reviewing an order. This feature, in

combination with the feature of maintaining audit information, related to a user profile, sufficient to identify the date a profile was added or when the change was made, what was added or modified, and who made the addition or modification, and further in combination with the feature of operating a purchaser interface by a member of a second group of institutional agents and requiring a member of said second group to view a previewable presentation of a print product order prior to processing a print order request, cannot be said to be taught or suggested by the prior art.

The closes prior art, Lavery (US 6429947) and Klatt (US 6473760), both assigned to ImageX, and SEC filings by ImageX does not teach or suggest the combination of steps recited in allowable claim 16.

**Regarding claims 18, 19, 25** these claims depend from allowable claim 16 and are allowable for at least that reason.

### ***Conclusion***

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statements for Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to James H. Zurita whose telephone number is 571-272-6766. The examiner can normally be reached on 8a-5pm.

Art Unit: 3625

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Wynn Coggins can be reached on 571-272-7159. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

*James Zurita*  
**Patent Examiner**  
**Art Unit 3625**  
2 December 2005

*Wynn Coggins*  
WYNN M. COGGINS  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 3600